

Date: April 10, 2001

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<b>Form PTO-1449</b> <b>(REV. 8-83)</b>  <b>U.S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				<b>ATTY. DOCKET NO.</b> TRD-001XX		<b>APPLICATION NO.</b> 09/587,619	
				<b>APPLICANT:</b> James P. Coppola III			
				<b>FILING DATE:</b> 06/05/2000		<b>GROUP:</b> 2761	

  

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE*
		6,125,355	09/26/2000	Bekaert et al.	705	36	
		6,012,044	01/04/2000	Maggioncalda et al.	705	36	
		5,918,217	06/29/99	Maggioncalda et al.	705	36	

  

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES      NO

  

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)	
	Are Investors Reluctant To Realize Their Losses?, Terrance Odean, University of California Davis Graduate School of Management, July 1998.
	Tip-offs from top traders, Ginger Szala et al., <u>Futures</u> , "Managed Money", July 1994, pp. 54 & 56.
	Determining Optimal Risk, Ed Seykota et al., <u>Technical Analysis of Stocks &amp; Commodities</u> , "Money Management", 1993.
	Of Dubious Origin, But Valuable, Ed Seykota, <u>Technical Securities Analysts Association of San Francisco Newsletter</u> , January-March 1994, p. 2.
	The Elements of Successful Trading, Van K. Tharp, Ph.D., <u>Technical Securities Analysts Association of San Francisco Newsletter</u> , January-March 1994, p. 2.
	Balancing Act, Mike DeAmicis-Roberts, <u>Futures</u> , "Trading Techniques", September 1995, pp. 46-47.
	Fine tuning money management with f, Murray A. Ruggiero Jr., <u>Futures</u> , "Technology and Trading", September 1995, pp. 48-50.
	Sheldon Knight: Patience as a Virtue, Mark Etzkorn, <u>www.futuresmag.com</u> , "Trader Profile", April 1998.
	A "Scenario Planning" Approach To Optimal Money Management, Ralph Vince, <u>MTA Journal</u> , Summer 1993, pp. 14-21.
	Value at Risk and Technical Analysis, Luis Ballescá, <u>Technical Analysis of Stocks &amp; Commodities</u> , "Novice Trader", August 1999, pp. 40 & 43.

  

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
<b>*EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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